

# Perception, knowledge, and attitude towards interceptive orthodontics among pediatric dentists, orthodontists, and dental surgeons in Peru

*Percepción, conocimiento y actitud hacia la ortodoncia interceptiva en odontopediatras, ortodontistas y cirujanos dentistas en Perú*

*Percepção, conhecimento e atitude em relação à ortodontia interceptativa em odontopediatras, ortodontistas e cirurgiões-dentistas no Peru*

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## Abstract

**Objectives:** To compare the perception, knowledge, and attitude towards interceptive orthodontics among pediatric dentists, orthodontists, and dental surgeons.

**Methods:** The sample included 52 men and 56 women, aged  $39.75 \pm 9.32$  years: 36 pediatric dentists, 36 orthodontists, and 36 dental surgeons. The 14-question survey administered had validity, internal consistency, and stability. Survey Monkey was used to administer the survey. The data was analyzed using the chi-square test at a 5% significance level.

**Results:** No differences were found among professionals regarding perception and attitude towards the treatment ( $p > 0.05$ ). There was a difference regarding the level of knowledge about specific aspects of interceptive treatment ( $p < 0.05$ ).

**Conclusions** The professionals had similar perceptions and attitudes towards interceptive treatment. However, there was a significant difference regarding the level of knowledge of pediatric dentists, orthodontists, and dental surgeons.

**Keywords:** interceptive orthodontics, orthodontists, pediatric dentists, dentists.

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## Resumen

**Objetivos:** Comparar la percepción, conocimiento y actitud hacia la ortodoncia interceptiva en odontopediatras, ortodoncistas y cirujanos dentistas.

**Métodos:** La muestra se conformó por 52 hombres y 56 mujeres, con una edad de  $39.75 \pm 9.32$  años e incluyó a 36 odontopediatras, 36 ortodoncistas y 36 cirujanos dentistas. Se utilizó un cuestionario de 14 preguntas con características de validez, consistencia interna y estabilidad. El instrumento fue enviado mediante el software Survey Monkey. El análisis de datos se realizó mediante prueba de Chi Cuadrado al 5% de significancia.

**Resultados:** Los profesionales no mostraron diferencias en la percepción y en la actitud hacia el tratamiento ( $p > 0.05$ ). Existió una diferencia con respecto al nivel de conocimiento sobre aspectos puntuales del tratamiento interceptivo ( $p < 0.05$ ).

**Conclusiones:** Los profesionales tuvieron similares percepciones y actitudes frente al tratamiento interceptivo. Sin embargo, existió una diferencia significativa con respecto al nivel de conocimientos entre odontopediatras, ortodoncistas y cirujanos dentistas.

**Palabras clave:** ortodoncia interceptiva, ortodoncistas, odontopediatras, dentistas.

## Resumo

**Objetivos:** Comparar a percepção, o conhecimento e a atitude em relação à ortodontia interceptiva em odontopediatras, ortodontistas e cirurgiões-dentistas.

**Métodos:** A amostra foi composta por 52 homens e 56 mulheres, com idade de  $39,75 \pm 9,32$  anos e incluiu 36 odontopediatras, 36 ortodontistas e 36 cirurgiões-dentistas. Foi utilizado um questionário de 14 questões com características de validade, consistência interna e estabilidade. O instrumento foi enviado usando o software Survey Monkey. A análise dos dados foi realizada por meio do teste Qui quadrado com significância de 5%.

**Resultado:** Os profissionais não apresentaram diferenças de percepção e atitude em relação ao tratamento ( $p > 0,05$ ). Houve diferença quanto ao nível de conhecimento sobre aspectos específicos do tratamento interceptivo ( $p < 0,05$ ).

**Conclusões:** Os profissionais tiveram percepções e atitudes semelhantes em relação ao tratamento interceptivo. No entanto, houve diferença significativa quanto ao nível de conhecimento entre odontopediatras, ortodontistas e cirurgiões-dentistas.

**Palavras-chave:** ortodontia interceptiva, ortodontistas, odontopediatras, dentistas.

## Introduction and background

Evidence shows that treating malocclusion early has fewer unfavorable effects and that they may even be eliminated.<sup>(1)</sup> However, not much is known about the true benefits of interceptive orthodontics at the highest desired level of evidence, so some gaps remain.<sup>(2)</sup>

Malocclusion has a vast etiology, but its results are quite common: unaesthetic appear-

ance, patient discomfort, periodontal problems, chewing difficulty, and speech problems. These require a multidisciplinary approach led by dental surgeons and specialists, who should base their decisions on orthodontic principles and practices.<sup>(3)</sup> It is estimated that 65.1% of all orthodontic cases are treated by orthodontic specialists, 3.7% by pediatric dentists, and 31% are treated by general practitioners.<sup>(4)</sup> However, there is much controversy regarding the skills of

practitioners providing orthodontic treatment.<sup>(5,6)</sup>

Assessing the need for orthodontic treatment depends on the practitioner's experience and training. In most countries, specialists attend advanced education programs for 2-3 years after dental school to train in the diagnosis and treatment of malocclusions. Training in diagnosing and/or treating patients with interceptive orthodontics may be insufficient given the time constraints of undergraduate plans of studies.<sup>(4,6)</sup>

Studies have reported that pediatric dentists, dental surgeons, and orthodontists performing early diagnosis and interceptive treatment of malocclusion have clinical management discrepancies.<sup>(3,5,7)</sup> Treatments vary according to malocclusion type. For instance, some orthodontists would use headgear to treat an anterior open bite, whereas pediatric dentists and dental surgeons prefer a functional or removable dentoalveolar appliance.<sup>(5)</sup> The same applies to crowding, where the treatment approach may range from using a functional appliance to serial extraction.<sup>(5,8)</sup>

Pediatric dentists and dental surgeons also have differences in their treatment of choice. They usually treat any type of malocclusion, mainly in primary and early mixed dentition, and they have a different referral rate.<sup>(4,5,9)</sup> Some studies report a significant percentage of general dentists who decide not to refer patients to a specialist and provide some type of interceptive treatment but with a more general approach.<sup>(4,10)</sup>

Given the potential differences in the professionals who provide interceptive orthodontic care, it is essential to understand that their perception and attitudes towards diagnosing, preventing, and treating malocclusions may vary. This can have a direct or indirect impact on the success of interceptive orthodontic treatment. This study aims to compare the perception, knowledge, and attitude towards interceptive

orthodontics among pediatric dentists, dental surgeons, and orthodontists.

## Methods

This study was approved and independently reviewed by the Research Ethics Committee of Universidad Católica Santo Toribio de Mogrovejo under resolution number 410-2020-USAT-FMED. All participants received an oral and written explanation of the study and gave their consent voluntarily.

This study is observational, and the population was made up of professionals who provide preventive orthodontic treatment to children or adolescents. These were both men and women registered with the Peruvian Dental Association and who agreed to participate in the survey. Participants with an inactive e-mail, no cellular phone data, and those who did not send the survey through the link provided were excluded.

## Study sample

The sample was determined with this formula for studies using the nonparametric chi-square test with  $\alpha = 0.05$ ,  $\beta = 0.10$ , and with ratios obtained from a pilot study.

$$n = \delta_{\alpha, \beta} \left[ \sum_{i=1}^3 \sum_{j=1}^3 \frac{(p_{ij} - p_i p_j)}{p_i p_j} \right]^{-1}$$

This resulted in a minimum sample size of 108 professionals, with a 0.90 power for the study. The sample was proportionally divided into 36 professionals per group, and the sample was selected through non-probability convenience sampling.

## Technique and survey

This study was conducted through a self-administered online survey. The questionnaire

or survey was divided into two sections: general information about the professional, and interceptive orthodontics. The second section had two subsections: *perception* (4 questions), *knowledge* (5 questions), and *attitude* (5 questions). Dichotomous questions were used to assess knowledge: correct (1 point) and in-

correct (0 points). The questions to evaluate perception and attitude were asked on a 5-point Likert scale: always (5 points), often (4 points), regularly (3 points), sometimes (2 points), and never (1 point). Table 1 shows the survey's sections and questions included in the study.

**Table 1: Survey**

General information
Are you male or female? What is your age in years?
I. Perception
Tell us about the number of patients who come to your practice seeking interceptive orthodontic treatment. Tell us about the potential effect of interceptive orthodontic treatment on a patient's self-esteem and quality of life. What do you think about the role of parents in the success of interceptive orthodontic treatment? Tell us about your knowledge or skill in performing an interceptive orthodontic treatment.
II. Knowledge
Which statement applies to treating malocclusion involving jaw development? Which of the following actions would illustrate interceptive treatment? Which of these clinical features is most frequently observed in a 14-year-old patient with Class II1 malocclusion? Which of these cephalometric features is most frequently observed in a 14-year-old patient with Class III malocclusion? How would you fix a bilateral posterior crossbite in an 11-year-old patient?
III. Attitude
How often do you order a cephalometric radiograph before starting an interceptive treatment for patients with deciduous dentition? How often do you explain and/or demonstrate to parents or guardians how interceptive treatment works? How often do you consult another colleague to decide on a case requiring interceptive orthodontic treatment? How often do you consult a magazine or journal to decide on a case requiring interceptive orthodontic treatment? How often do you follow up on cases treated with interceptive orthodontics for over one year?

The content of the sections was validated with the participation and evaluation of three reviewers or experts (MCH, MAT, AAN) who applied a previously developed validation matrix. The test-retest based stability results for perception, knowledge, and attitude were 0.644

( $p = 0.002 < 0.01$ ), 0.795 ( $p = 0.000 < 0.001$ ) and 0.912 ( $p = 0.000 < 0.001$ ). This shows that the scales used were stable. Internal consistency was adequate; the coefficients were 0.723, 0.699, and 0.685 for perception, knowledge, and attitude.

## Procedure

The research staff entered the survey's questions and answers into the Survey Monkey online platform and default fields. Microsoft Excel was used to create a database including specific information on the study variables and information about the respondent: full name, phone number, and/or e-mail. These were initially provided to the research staff. The information was tabulated for each participant. Then, practitioners were classified according to the type of professional: pediatric dentist, orthodontist, or general dental surgeon. The professionals were classified using information from the website of the National Registry of Degrees and Diplomas of the National Superintendence of University Higher Education (SUNEDU), which is free and publicly accessible.

Before collecting the data, each participant was given a data sheet with information on the research objectives, the head researcher, and the confidentiality of the information provided. The participants' data was entered into the survey software. Then, the platform automatically sent the participants a link to access the survey. The software sends automatic recurring messages according to the participants' response rate. The information obtained from the completed surveys was assigned identification codes for each participant.

## Statistical analysis

All the data was processed using SPSS 25.0 statistical software. Univariate statistical analy-

sis was performed for the study variables using absolute and relative frequencies to present the data. A bivariate analysis was also performed using the chi-square test to compare the perception, knowledge, and attitude towards interceptive treatment among the three groups of professionals, with a 5% significance level.

## Results

Of the 113 people selected for the sample, a total of 108 professionals, both men, and women, agreed to participate in the study, which amounts to a 95.3% response rate. Table 2 shows the characteristics of the study participants.

**Table 2: Demographics of the participants**

	Statistics	
	Age (Mean, SD)	39.75
Sex (n, %)		
Men	52	48.1
Women	56	51.9

Regardless of the type of professional, the perception of interceptive treatment was generally favorable: 91.7% in orthodontists, 88.9% in pediatric dentists, and 83.3% in dental surgeons. Table 3 shows that the overall high perception does not provide evidence of any significant difference between the perception reported by the various professionals ( $p=0.542$ ).

**Table 3: Perception of interceptive treatment by dental surgeons, pediatric dentists, and orthodontists.**

Perception	Dental surgeons		Pediatric dentists		Orthodontists		p-value(*)
	N	%	N	%	N	%	
Fair	6	16.7	4	11.1	3	8.3	0.542
Favorable	30	83.3	32	88.9	33	91.7	
Total	36	100.0	36	100.0	36	100.0	

(\*) Chi-square statistical test.

Regarding the level of knowledge of specific aspects of interceptive treatment, the most frequent level of knowledge was fair: 83.4% in dental surgeons, 61.1% in pediatric dentists, and 52.8% in orthodontists. Table 4 shows

that a significant percentage of orthodontists (38.9%) and pediatric dentists (33.3%) replied that the level was good, which shows a statistically significant difference ( $p=0.039$ ).

**Table 4: Knowledge of interceptive treatment of dental surgeons, pediatric dentists, and orthodontists.**

Level of knowledge	Dental surgeons		Pediatric dentists		Orthodontists		p-value(*)
	N	%	N	%	N	%	
Poor	3	8.3	2	5.6	3	8.3	0.039
Fair	30	83.4	22	61.1	19	52.8	
Good	3	8.3	12	33.3	14	38.9	
Total	36	100.0	36	100.0	36	100.0	

(\*) Chi-square statistical test.

Regarding attitude towards interceptive treatment, there was a shared perspective. Generally, there was an attitude of acceptance and of uncertainty (fair). Dental surgeons and orthodontists (55.6%) and pediatric dentists (47.2%)

had a similar acceptance level. As for uncertainty, the percentages were 47.2% for pediatric dentists, 44.4% for dental surgeons, and 38.9% for orthodontists. Table 5 shows no statistical differences between the groups for acceptance.

**Table 5: Attitude of dental surgeons, pediatric dentists, and orthodontists towards interceptive treatment.**

Attitude	Dental surgeons		Pediatric dentists		Orthodontists		p-value(*)
	N	%	N	%	N	%	
Rejection	0	0.0	2	5.6	2	5.6	0.624
Fair	16	44.4	17	47.2	14	38.9	
Acceptance	20	55.6	17	47.2	20	55.6	
Total	36	100.0	36	100.0	36	100.0	

(\*) Chi-square statistical test.

## Discussion

The benefits of interceptive treatment versus no treatment or delayed treatment remain controversial.<sup>(11)</sup> Thus, for example, functional appliances may effectively correct long-term skeletal Class II malocclusion. However, the quality of

the evidence is still low and the clinical significance is limited.<sup>(12)</sup> Similarly, there is evidence supporting the use of facemasks to treat skeletal Class III malocclusion, but with limited long-term results.<sup>(13)</sup> The lack of adequate clinical trials and the failure to evaluate other factors such as patient satisfaction, pain experience,

and cost<sup>(14)</sup> contribute to the practitioners' idea that this procedure is debatable.

Interceptive orthodontics has adopted a multidisciplinary approach where general dentists and other specialists such as pediatric dentists can play a significant role in diagnosis and treatment. However, possible variations in education and perceptions of orthodontic treatment may create differences if the dentists have a specialization degree.<sup>(15,16)</sup> The results of this study show differences in education and training among the professionals who provide interceptive orthodontic treatment. However, some aspects regarding perception and attitude towards certain situations are similar.

No differences were found regarding the perception of the demand of patients seeking interceptive treatment, the benefit of treatment on the patient's self-esteem and quality of life, the role of parents, and the professionals' perception of their own skills. Hunt et al.<sup>(17)</sup> compared the perception of orthodontists and general dental practitioners. They agree that this treatment improves self-esteem and quality of life; orthodontists obtained a higher score. This study also showed a higher percentage of orthodontists who had a favorable perception of the treatment, and no statistical difference was found. Heath et al.<sup>(6)</sup> evaluated the perception among the same professionals regarding their orthodontic treatment skills. They found a considerable difference in favor of the specialists, which contrasts with this study, where most professionals believed they had adequate skills to provide interceptive treatment.

We found significant differences in the professionals' level of knowledge on how to treat these cases. Most professionals with a good level of knowledge were orthodontists. Among the respondents with poor knowledge, the minority were pediatric dentists. General dental surgeons were the largest group in the fair knowledge category. These results coincide with Barzilay et al.<sup>(10)</sup>, who evaluated knowledge of interceptive orthodontics among dental surgeons and

pediatric dentists. They assigned a significantly higher score to pediatric dentists. However, the results of this study differ from those of Aldrees et al.,<sup>(5)</sup> who found no differences in diagnostic skills regarding most malocclusions assessed through clinical cases. The difference between the studies above may be due to the different formats used to assess diagnostic skills. According to Ghafari<sup>(18)</sup> and You et al.,<sup>(19)</sup> it may also be due to the diversity of orthodontic skills offered and how they are acquired in each undergraduate and graduate program, despite the globalization of orthodontic education. This study presented specific situations, which demanded accurate clinical responses. This may have made structured decision-making difficult when professionals with less training had to diagnose and treat patients in specific clinical scenarios.

Once the level of knowledge has been identified, it is necessary to evaluate attitudes to interpret the impact on our clinical treatment decisions. This is a tool that allows professionals to improve constantly. In this study, most dental surgeons, pediatric dentists, and orthodontists had a similar attitude of accepting the situations presented to the point that no general dental surgeon rejected the topics evaluated regarding interceptive orthodontic treatment. Currell et al.<sup>(20)</sup> found that general dental surgeons' attitude towards interceptive orthodontic treatment depended on factors such as selfconfidence and doubts about the benefits of the treatment, results that differ from those of this study. This could be explained by dental surgeons' predisposition to be more efficient in relation to interceptive treatment, regardless of their training compared to that of specialists.

In most countries such as Peru, interceptive orthodontic treatment is often provided by pediatric dentists, orthodontists, and general dental surgeons. Therefore, it is interesting to see no differences in perception and attitude towards the treatment despite possible differences in training in undergraduate and specialty programs. This can be seen as a starting point for

common ground for general and specialized care.

This study had the limitation that the knowledge of specialists and non-specialists was evaluated according to theoretical background only and not necessarily according to clinical experience, which could change the professionals' opinion about orthodontic treatment. In this sense, the answers provided may not represent the respondents' actual clinical practice. Therefore, these findings should be treated with caution.

## Conclusions

General dental surgeons, pediatric dentists, and orthodontists who provide interceptive orthodontic treatment in Peru were mostly similar in their favorable perception of interceptive treatment and acceptance in clinical situations. In general, there was a significant difference in the level of knowledge when comparing the three types of professionals, although most of them had a fair performance in the topics evaluated.

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### **Authorship contribution**

1. Conception and design of study
2. Acquisition of data
3. Data analysis
4. Discussion of results
5. Drafting of the manuscript
6. Approval of the final version of the manuscript.

M. Ortiz has contributed in: 1, 3, 5 and 6.

R. pachas has contributed in: 1, 2, 4 and 6.

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